



SCOTTISH EXECUTIVE

PPP SCOTLAND

Issue 17

January 2004

THE OFFICIAL BROADSHEET OF THE SCOTTISH EXECUTIVE FINANCIAL PARTNERSHIPS UNIT

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FPU WEBSITE

The Financial Partnerships Unit has its own website at

www.scotland.gov.uk/ppp (NB new address)

The broadsheet is put on the Internet where you can also access the project list spreadsheet, data sheets on signed projects and statistical information on project values and procurement milestones.

The website is updated regularly and has been relaunched with the Scottish Executive corporate image with its own menu to take you directly to different sections.

Expansion Of Financial Partnerships Unit

Since the departure of Andrew Clearie in March last year the Unit has been enlarged and re-organised to take into account the increased amount of PPP projects in the education field. In July Fiona Black arrived to fill the post of Senior Project Advisor. An architect by profession, Fiona was involved on the Facilities Management side in the Glasgow 2002 Schools Project. Mikko Ramstedt arrived at the beginning of August to be a Project Advisor followed by Andrew Caskie in September. John Cowan left on promotion to be Senior Project Advisor in SE Waste Management. Fiona McLellan is now Executive Assistant to Sandy Rosie.

Training Day for your Diary 25 February 2004

In response to our request for topics for further training, it has been decided to run a one day course on 25 February 2004 at Victoria Quay, Edinburgh. The outline agenda at present includes FM, Output Specification Guidance, NPDO and Hints and Tips for Tranche 2 Schools

This is aimed mainly at Tranche 2 PPP projects and places are limited to 2 per council. I should be grateful if you would send your response to Fiona McLellan at the above address by 31 January 2004.

(email: Fiona.mclellan@scotland.gsi.gov.uk)

Update on NPDO

With the support and unanimous agreement of the Council, the approval of the Scottish Executive, the launch of OJEU and issue of ITN, Argyll and Bute's NPDO School Buildings Project has reached a significant milestone. The project involves two new-build large secondary schools of over 1000 pupils each (about 48% of capital value), five refurbished secondaries for 100 to 600 pupils, twenty-one refurbished primary schools for 50 to 570 pupils and one learning centre for children with additional support needs.

The programme of works takes account of

- The current quality of the buildings – dealing with the poorest buildings first;
- The numbers of pupils attending each building;
- A geographic approach to allow the bundling of schools – that is, undertaking work in a number of schools in the same area to achieve, where possible, economies of scale; and
- Giving priority to secondary schools, within their building categories, so that the deficiencies in practical subjects can be addressed

The Council is seeking to develop the strengths and benefits of the PPP approach by adopting a Non-Profit Distributing Organisation model developed in conjunction with our co-sponsors Partnerships UK and supported by the Scottish Executive as a pilot project. As in all other areas, the Council will be encouraging innovation from the private sector insofar as it complies with the additional features and objectives of the NPDO approach.

The timescale the Council has set for the procurement process from launch of OJEU through to Financial Close is an ambitious but realistic target. Momentum is being maintained, stakeholders are being consulted and work on all aspects of the project continues apace. The development of a PPP/NPDO project affecting twenty-eight schools in the Council's estate remains a priority.

THE FINANCIAL PARTNERSHIPS UNIT(FPU)

The FPU is a small unit within Scottish Executive Finance that aims to provide guidance and support to both the public and private sectors on PPP in Scotland. It is the 'first stop' source of advice and data on PPP in relation to programmes managed directly by the Scottish Executive, its agencies and other public bodies, and on the use of PPP in local authorities. If you are thinking about a project or need advice, give us a call. We are always happy to help.

Director

Sandy Rosie: 0131 244 7497

Depute Director

Riona Bell 0131 244 7501

Senior Project Adviser

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Guidance specific to the use of PPP in the health sector is available from:

[NHS in Scotland](#)

[Private Finance and Capital Unit](#)

[St Andrew's House](#)

[Regent Road](#)

[EH1 3DG](#)

[Edinburgh](#)

[EH1 3DN](#)

Fax: 0131244 3993

Contact:- Norman Kinnear: 0131 244 2786

Broadly Commercial Rate - The current rate for the purpose of calculating level playing field support/revenue support is 8.0631%

OJEUs Stirling Schools Project; West Lothian Schools Project

ITN Argyll & Bute Schools Project; Fife Schools Project; East Renfrewshire Schools Project; North Lanarkshire Schools Project

PREFERRED BIDDER
Aberdeenshire Schools Project

SIGNED Glasgow Southern Orbital Road; A92 Angus

SCHOOL ESTATE BRANCH

New Publications

In August last year school estate branch launched four new publications stemming from the publication of the School Estate Strategy in February 2003. These publications are mainly intended to offer guidance to local authorities:

School Design: Sets out what design is, why it is important, identifies key questions and ten points to consider to ensure good design in schools, including through the procurement process, and offers some recent examples of good practice across Europe.

School Estate Management Plans: Sets out a practical approach to the process of assessing current stock, considering options, developing a long term plan, implementing and reviewing it, all in the context of needs and resourcing over the long term

Core Facts: Sets out the elements which will establish a baseline picture of the current school estate and provides a way to measure the benefits of long term investment, which will evolve over time.

The 21st Century School: Sets out cross cutting Scottish Executive policies relevant to the school estate, explains their relevance to the school estate, provides references to linked documents and contacts for further information.

These publications have been well received by local authorities, and copies are available from school estate branch on request.

Building Our Future: School Estate Conference

School Estate branch held a conference on 25 November 2003 entitled **Building our Future** at Edinburgh Conference Centre, Heriot-Watt University. This follows on from the success of the 2002 Design for Learning conference, and the publication of the School Estate Strategy.

The conference featured speakers from both public and private sector backgrounds, and consisted of three sessions:

The School: innovation and good practice in Scottish schools, introduced by Peter Peacock MSP, Minister for Education and Young People.

The Project: good practice in managing and delivering schools, introduced by Euan Robson MSP, Deputy Minister for Education and Young People

The Future: key issues for the school estate, introduced by Frank McAveety MSP, Minister for Tourism Culture and Sport

The conference was of interest to senior politicians and officials from local authorities as well as representatives of the architecture, design, construction and financial sectors, and provided an excellent opportunity for networking.

The conference also saw the launch of three new publications: output specification guidance; fire guidance; and recent case studies showing exemplars from local authorities around Scotland, incorporating work by Children in Scotland on stakeholder involvement in school building and refurbishment.

Want to know more?

More information about the conference, all the publications, information about what the branch does, useful links and more can be found on the web site

www.scotland.gov.uk/schoolestate , or if you have any queries you can e-mail schoolestate@scotland.gsi.gov.uk

Dalkeith School Community Campus

Most of the FPU set out to visit the newly opened campus at Dalkeith. The campus, costing £30 million, can accommodate up to 2,100 students that attend Dalkeith High, St David's High Roman Catholic school and Saltergate school. While the schools have their own individual teaching blocks, there is a central core of shared accommodation and two 'mirrored' sets of two-storey secondary school teaching buildings.



The main central shared block features a large Assembly Hall, refectory, kitchens (where we sampled a very tasty selection from the menu for our lunch) and a library as well as administration offices.

Outside are three full size football pitches, two rugby pitches athletic tracks and an All-Weather pitch. With funding from sportscotland Midlothian have been able to address a number of deficiencies in sports facilities and opportunities.

The sports centre and music and drama facilities offer the wider community access to an extended range of sport and leisure opportunities

The campus replaces outdated facilities with new schools designed to meet the needs of the 21st century and the flexibility to cope with changing school roles. We were all very impressed with the facilities -a far cry from our school days!

Visit to Castlemilk High School

The City Council created a PPP project "Project 2002" to revitalise the school estate. This project incorporated consolidation of empty school stock, together with refurbishment / replacement of existing buildings. With all schools open it was thought a good idea for our new members of staff to have hands on experience of what PPP can accomplish.

They chose to visit a complete replacement of the previous Castlemilk High School, where the standard of accommodation and facilities provided by the old school were inadequately matched with the needs of the community.

Construction work on the new school was completed in August 2002, in readiness for the new academic year. It is constructed on the site of the former playing fields, the space vacated by the old school now being utilised as playing fields. With a capacity of 800 pupils, the school is smaller than the previous building.

The school appeared to be very clean and well-maintained in an excellent condition. It was pointed out that internal occurrences of vandalism were rare, this being attributed to the excellent morale and ethos of the school. All staff consulted highlighted the vast improvement in working conditions in the school, describing the new facility as much brighter, warmer, more cheerful and more comfortable than the previous school.

The layout of the school was mostly well planned. With only two levels and four wings, finding the way around was simple. However, there were some clashes of need against facilities. For example, the ideal location for examinations also served as the daily dining hall.

Several teachers also commented on the lack of storage space for equipment. While appreciative of the grouping together of departments, and the provision of staff bases, they highlighted that these were often not being used to their potential as they had to serve as store rooms and even classrooms on occasion.

Health Update

Security has been improved - the previous school had nine entrances, whereas the new building has one. Pupils feel much safer in the building. CCTV cameras were fitted around the school perimeter.

ICT provision was included in the PPP contract. Each teaching space, staff base and school administration are linked to the school network. The school is networked to its primary schools and to every other secondary school in the City. Through this City-wide network, the school has structured access to the Internet.

Dedicated ICT rooms and an IT learning centre (including school library) are provided.

Feedback on the new PE department was mixed. Although a full size games hall had been provided, the use of roof-mounted horizontal radiators without netting meant that balls/shuttlecocks/etc. were frequently trapped above the radiators.

Overall, staff and pupils were pleased to have such new facilities and equipment.

The school environment has been dramatically improved with the construction of the new Castlemilk High School building . They found the visit very interesting and instructive in showing the varying ways that PPP can be used to provide better facilities in the schools sector .

PPP is making a **significant contribution** on top of the capital allocation from the Scottish Budget to improve Health Services across Scotland.

To date **41** projects with a capital value of **£540m** have been completed or contracts been signed. Of this total, **25 contracts** with a value of **£486m** have been signed since May 1997. Major projects include – New Royal Infirmary Edinburgh (£180m), Hairmyres District General Hospital (£68m), and Wishaw District General Hospital (£100m) that are providing NHSScotland with **state of the art hospitals for the 21st century**.

Other projects include mental health provision at New Craigs (£16.5m), a geriatric assessment facility at Larkfield (£10m), East Ayrshire Community Hospital (£9m) and an IM&T system at Lothian University Hospitals NHS Trust (£12m).

So what about PPP in the future?

More schemes are in development and major developments are planned around the acute and related infrastructure of NHSScotland in Glasgow, Lothian, Forth Valley and Fife. These schemes are at varying stages of development. Details of all health schemes can be found on the projects page on the PFCU website at www.show.scot.nhs.uk/pfcu .

The Scottish Standard Form Project Agreement version 1 was issued on 14th November for immediate use and is also available on the PFCU website. Whilst it is based upon version 3 of the DoH document it incorporates appropriate changes to reflect Scottish circumstances and in particular the approach to staff transfers as covered by the agreement between Ministers and the Scottish TUC.

Positive action is being taken to improve and develop client side skills through training and development opportunities funded by the Department together with a root and branch review of the Scottish Capital Investment Manual which is the basis of guidance to the Service.

Further Information & Enquiries

Enquiries on PFI/PPP within the Health sector **Issue 17**

are always welcomed. For further information on PFI/PPP in Health contact:

MIKE BAXTER, Head of Unit, 0131 244 5041

michael.baxter@scotland.gsi.gov.uk

NORMAN KINNEAR, PFI Facilitator 0131 244 2786

norman.kinnear@scotland.gsi.gov.uk

FIONA MARCH, Policy Adviser, 0131 244 2076

fiona.march@scotland.gsi.gov.uk

**Private Finance and Capital Unit,
Basement Rear
St Andrews House
EDINBURGH
EH1 3DG**

PQS

S1W-34720 - Fergus Ewing (Inverness East, Nairn and Lochaber) (SNP) : To ask the Scottish Executive what the cost would be of buying out the public private partnership (PPP) for Inverness airport; whether the terms of the PPP are a disincentive to increasing the number of routes and passengers using the airport; what its estimate is of the total cost of payments due over the life of the PPP contract, and what steps it plans to take in order to increase the number of routes and passengers using Inverness airport.

Answered by Lewis Macdonald (26 March 2003): The buying out of Highlands and Islands Airports Limited (HIAL) Public Finance Initiative (PFI) deal on the development and operation of the Inverness Airport Terminal is not under consideration by Scottish ministers. The contractual parties to the deal are reviewing the PFI structure, to ensure that it continues to be effective in delivering the intended benefits for the operation and development of the terminal and airport. The Scottish Executive fully supports this review.

The estimated cost of PFI payments until the end of the contract is based on a (variable) volume based payment multiplied by the estimated passenger throughout. This is applied through a

cost model which is subject to commercial confidentiality.

HIAL and the Scottish Executive will continue to pursue the development of new routes from Inverness and other HIAL airports through the Interim Route Development Fund and the company's marketing strategy. In the past six months this has resulted in delivery of a new Manchester service and an additional London (Gatwick) service.

S1W-34912 - Robin Harper (Lothians) (Green) : To ask the Scottish Executive what expenditure is planned for the M77 Fenwick to Malletsheugh and Glasgow southern orbital public private partnership (PPP) road project in each year from 2002-03 to 2006-07 and what the total cost is of the PPP contract.

Answered by Lewis Macdonald (28 March 2003): The M77 Fenwick to Malletsheugh and Glasgow Southern Orbital is being taken forward in partnership with East Renfrewshire and South Lanarkshire Councils. The Executive is responsible for the M77 while the Glasgow Southern Orbital is the responsibility of East Renfrewshire and South Lanarkshire Councils.

The PPP contract has still to be concluded. The cost will only be finalised once the contract is concluded. Estimated expenditure for 2002-03 to 2006-07 is contained in the answer given to question S1W-34908 today. All answers to written parliamentary questions are available on the Parliament's website, the search facility for which can be found at http://www.scottish.parliament.uk/webapp/search_wa.

S1W-34673 - Linda Fabiani (Central Scotland) (SNP) : To ask the Scottish Executive whether education authorities should take into account the level of funding allocated under its schools estate funding package when formulating Public Private Partnership proposals and whether any education authorities that had formulated, but not agreed, Public Private Partnership (PPP) proposals before the schools estate funding package became available should now revise their proposals in order to take account of it.

Answered by Nicol Stephen (28 March 2003): Authorities are free to take account of all the resources available to them in their consideration of funding Public Private Partnership proposals.

Preparation of an Outline Business Case is an early stage of the PPP process and there are opportunities as the process progresses for authorities to review the funding sources available to them in reaching decisions about the affordability of their projects. Authorities should also consider the need to ensure that all their schools are maintained to a good standard and to allocate adequate funding for this purpose

S2W-336 - Dr Elaine Murray (Dumfries) (Lab) :

To ask the Scottish Executive what conditions were placed on the award of £103 million to Dumfries and Galloway Council for its proposed private public partnership (PPP) for the rebuilding and refurbishment of schools.

Answered by Peter Peacock (2 June 2003): The conditional award on 31 March 2003 of revenue support to Dumfries and Galloway Council for a schools PPP project is subject to standard conditions that:

the project is affordable within the limits of the revenue support awarded

the PPP option clearly demonstrates value for money against the public sector comparator when compared on a risk adjusted whole-life basis

the project is accounted for off the council's balance sheet

the Full Business Case is made publicly available, having due regard to issues of commercial confidentiality

the Project Agreement is based on the Scottish Standard PPP Schools Contract (including compliance with the PPP Staffing Protocol published on 11 November 2002)

the project reaches commercial close by 31 March 2006.

At the time the conditional award was made, a pre-Invitation to Negotiate Key Stage Review by Partnerships UK of the project management was under way, and the award was, therefore, subject to an additional condition concerning completion of that process. The Key Stage Review process was completed on 29 April.

Other normal requirements, such as planning or school closure consents, also need to be met.

S2W-32 - Roseanna Cunningham (Perth) (SNP)

: To ask the Scottish Executive what duties are implied by the requirement placed on the contractor to comply with the Freedom of

Information Act 2000 as referred to in clause 59.7.1 of the Scottish Schools Standard PPP Contract.

Answered by Mr Andy Kerr (3 June 2003):

Education authorities should comply with the relevant Freedom of Information legislation and best practice on openness, and contractors should be obliged to facilitate that. This is the intention of this clause in the Scottish Schools Standard PPP Contract (SSSC).

The references to the Freedom of Information Act 2000 and the Code of Practice on Government Information (1997) in the SSSC will be amended shortly to take account of the Freedom of Information legislation that will apply in Scotland

S2W-33 - Roseanna Cunningham (Perth)

(SNP) : To ask the Scottish Executive what obligations education authorities will have under the (a) Code of Practice on Government Information (1997) and (b) Freedom of Information Act 2002 as referred to in clause 59.7.2 of the Scottish Schools Standard PPP Contract.

Answered by Mr Andy Kerr (3 June 2003): I

refer the member to the answer given to question S2W-32 today. All answers to written parliamentary questions are available on the Parliament's website, the search facility for which can be found at

http://www.scottish.parliament.uk/webapp/search_wa.

S2W-70 - Roseanna Cunningham (Perth)

(SNP) : To ask the Scottish Executive whether the Scottish Schools Standard PPP Contract will be amended to take account of the Public Private Partnerships in Scotland Protocol and Guidance Concerning Employment Issues.

Answered by Mr Andy Kerr (3 June 2003): An amendment to the Scottish Schools Standard PPP Contract is planned for the summer and will incorporate the relevant obligations on contractors arising from the Scottish Executive/Scottish Trade Union Congress Staffing Protocol.

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Answered by Mr Andy Kerr (3 June 2003):

An amendment to the Scottish Schools Standard PPP Contract is planned for the summer and will incorporate the relevant obligations on contractors arising from the Scottish Executive/Scottish Trade Union Congress Staffing Protocol.

S2W-282 - Michael Matheson (Central Scotland) (SNP) : To ask the Scottish

Executive whether including existing public private partnership schools in schedule 1A of the Race Relations Act 1976 by an order under section 71(5) of the act would constitute either a discriminatory change of law or a specific change of law, as defined in the Scottish Schools Standard PPP Contract

Answered by Ms Margaret Curran (4 June 2003):

Only the Secretary of State has the power to make an order under 71(5) and therefore, any question of extending Schedule 1A is a matter for the UK Parliament.

It is for the courts to interpret the Scottish Schools Standard PPP Contract (SSSC), as with any other contract, if and when an issue is presented. Although the SSSC is a standardised contract, there are project specific issues and the SSSC is completed and agreed individually for each Schools PPP project. Interpretation of one clause may depend upon other parts of the contract.

S2W-281 - Michael Matheson (Central Scotland) (SNP) : To ask the Scottish

Executive whether designation of operators of existing public private partnership schools as public authorities under section 5 of the Freedom of Information (Scotland) Act 2002 would constitute either a discriminatory change of law or a specific change of law, as defined in the Scottish Schools Standard PPP Contract.

Answered by Mr Andy Kerr (4 June 2003):

Although the Scottish Schools Standard PPP Contract (SSSC) is a standardised contract, there are project specific issues and the SSSC is tailored into a contract which is agreed individually for each Schools PPP project. It is for the courts to interpret any contract if and when an issue is presented. Interpretation of one clause may depend upon other parts of the contract.

S2W-291 - Michael Matheson (Central Scotland) (SNP) : To ask the Scottish

Executive whether the absolute exemption in section 36(2) of the Freedom of Information (Scotland) Act 2002 to the general entitlement to information in section 1 of that act would apply to the release of information other than permitted disclosures relating to a school public private partnership where that partnership had an information and

confidentiality clause modelled on clause 59 of the Scottish Schools Standard PPP Contract and, if not, what the circumstances would be in which such a release of information would not be so exempt.

Answered by Tavish Scott (5 June 2003): Clause 59 of the Scottish Schools Standard Contract is designed to ensure the co-operation of any contractor in supplying the information that a public authority may require in order to respond to a request made to them under the Freedom of Information legislation.

The absolute exemption in section 36(2) of the Freedom of Information (Scotland) Act will only apply in circumstances where the disclosure of information by a public authority could constitute an actionable breach of confidence by a third party. In that event, authorities should discuss with the third party whether the information still needs to be classified as such. If these particular circumstances did not apply the public authority could not properly withhold information under that exemption.

Any decision by a Scottish public authority to use this exemption would of course be subject to the appeal procedures set out in the act including a review by the Scottish Information Commissioner

S2W-1676 - Fiona Hyslop (Lothians) (SNP) : To ask the Scottish Executive how it will ensure that Public Private Partnership (PPP) contracts will include adequate provision for smaller class sizes in both primary and secondary education.

Answered by Peter Peacock (18 August 2003): The negotiation of contracts for school PPP projects is a matter for the local authorities concerned. Local authorities need to take a range of factors, including class sizes, into account in specifying their requirements for new and refurbished schools. The Scottish Executive is publishing this month guidance under the School Estate Strategy entitled The 21st Century School which highlights some of these factors.

S2W-1711 - Dr Elaine Murray (Dumfries) (Lab) : To ask the Scottish Executive what funding it is considering providing in regard to

Dumfries and Galloway Council's waste management and recycling PPP project and what conditions it will place on the awarding of any such funds.

Answered by Ross Finnie (21 August 2003): Dumfries and Galloway Council has been offered capped support of up to £1.27 million per annum from the 1998 Level Playing Field Support (LPFS) fund for this project. The conditions attached for this funding are those which were standard to all projects offered LPFS, as detailed in Finance Circular 16/1997.

An application from the Strategic Waste Fund is currently being assessed

S2W-1712 - Dr Elaine Murray (Dumfries) (Lab) : To ask the Scottish Executive when it now expects to make its final decision regarding Dumfries and Galloway Council's waste management and recycling PPP project.

Answered by Ross Finnie (21 August 2003): I refer the member to the answer given to question S2W-1711 today. All answers to written parliamentary questions are available on the Parliament's website, the search facility for which can be found at http://www.scottish.parliament.uk/webapp/search_wa.

The Scottish Executive expects the assessment process to be completed shortly and an announcement to be made after that.

S2W-1713 - Dr Elaine Murray (Dumfries) (Lab) : To ask the Scottish Executive whether there are any outstanding planning issues in regard to Dumfries and Galloway Council's waste management and recycling PPP project and, if so, what the nature of these issues is and when they will be resolved.

Answered by Mrs Mary Mulligan (22 August 2003): There are three outstanding planning issues relating to Dumfries and Galloway Council's waste management project.

1. Aucheninnes landfill site (Dalbeattie): A legal agreement between the council, the applicant and Scottish Natural Heritage in respect of a management and monitoring plan for the site has still to be signed before the council grants planning permission. The timescale for concluding the legal agreement is a matter for the signatories.

2. Edingham waste transfer station (Dalbeattie): The applicant has appealed to the Scottish ministers against the council's refusal of planning permission. A public local inquiry has been held and the decision will be issued in due course.

3. Locharmoss leachate pipeline (Dumfries): A planning application for a pipeline to remove leachates from the existing landfill site at Locharmoss to the town sewer in Dumfries is currently being processed by the council.

S2W-2452 - Ms Rosemary Byrne (South of Scotland) (SSP) : To ask the Scottish Executive whether plans for new schools being built under PPP will accommodate any future reduction in class sizes.

Answered by Peter Peacock (19 September 2003): The detailed specification for new schools, whether procured under PPP or by other means, is a matter for the local authorities concerned. They need to take a range of factors, including class sizes, into account in such specifications. The Scottish Executive recently published guidance under the School Estate Strategy entitled the *The 21st Century School* which highlights some of these factors. A copy can be found on the Scottish Executive website at:

<http://www.scotland.gov.uk/library5/education/se21-00asp>.

S2W-2451 - Ms Rosemary Byrne (South of Scotland) (SSP) : To ask the Scottish Executive what the average capacity will be for new schools being built under PPP.

Answered by Peter Peacock (19 September 2003): These are matters for local authorities. The Scottish Executive does not collect comprehensive or systematic information on school capacities.

S2W-2255 - Fiona Hyslop (Lothians) (SNP) : To ask the Scottish Executive whether schools considering running supported study or homework classes after the end of the timetabled school day would have a guaranteed right of access to classroom facilities in schools managed under PPP or PFI schemes.

Answered by Peter Peacock (24 September 2003): Access to facilities in such schools out of timetabled school hours is for individual

local authorities to determine within the PPP contract.

S2O-648 - Ms Rosemary Byrne (South of Scotland) (SSP) : To ask the Scottish Executive whether it is satisfied with the financial security of construction firms engaged in PFI/PPP contracts.

Answered by Mr Andy Kerr (30 October 2003): Scottish Executive recommended guidance for PPP projects states that any public sector client undertaking a PPP project should complete comprehensive reviews of potential bidders. This will be carried out by advisers appointed by the public sector client, and will include information on bidders' financial security. Similar reviews will also be carried out in projects that are procured conventionally.

S2W-3180 - Dr Elaine Murray (Dumfries) (Lab) : To ask the Scottish Executive, further to the answers to questions S2W-921 and S2W-1711 by Ross Finnie on 14 July and 21 August 2003 respectively, and to question S2W-1713 by Mrs Mary Mulligan on 22 August 2003, when it now expects the contract for Dumfries and Galloway Council's waste management and recycling PPP contract to be signed.

Answered by Ross Finnie (30 October 2003): Negotiations between Dumfries and Galloway Council and the PPP contract preferred bidder are still taking place. The outcome of these negotiations will determine when the waste management and recycling PPP contract is signed.

The Scottish Executive is currently waiting to receive a copy of the final business case.

S2W-3305 - Des McNulty (Clydebank and Milngavie) (Lab) : To ask the Scottish Executive whether it has considered inviting the Auditor General for Scotland to examine Scottish Water's PFI and PPP contracts to determine whether they represent best value.

Answered by Ross Finnie (3 November 2003): Audit Scotland discusses a wide range of issues with the Executive, and we are aware they are considering whether to examine lessons that might be learned from capital procurement in the water industry.

S2W-3303 - Murray Tosh (West of Scotland) (Con) : To ask the Scottish Executive whether it will allocate funding to support any bid by Inverclyde Council to upgrade its school estate under any of the PPP models which it is supporting in other local authority areas.

Holding reply by Peter Peacock (5 November 2003): I shall reply to the member as soon as possible.

Answered by Peter Peacock (7 November 2003): We have advised Inverclyde Council that the invitation to submit a business case in response to the indicative offer of revenue support we made last year for a public private partnership project of the order of £80 million remains open. The Executive currently supports only one public private partnership model, but is evaluating a variation of that model which is being piloted by Argyll and Bute Council.

S2W-4015 - Robin Harper (Lothians) (Green) : To ask the Scottish Executive whether local authorities can require the provision of sustainable development measures, such as recycling facilities, energy efficiency standards, cycle parking and renewable energy sources, within the process of building or refurbishing schools under public private partnership (PPP) contracts.

Answered by Mr Andy Kerr (24 November 2003): Local authorities can, and should, require the provision of sustainable development measures within their schools PPP project. The long-term and integrated nature of PPP incentivises contractors to consider the synergies between the design of an asset and its ultimate operating performance. This offers the opportunity to deliver public services in a more environmentally friendly way.

1. Treasury Taskforce Publications

Series (I) Generic Guidance

Standardisation of PFI Contracts (July 1999)

Step by Step Guide to the PFI Procurement Process (Revised November 1999)

Series (ii) Policy Statements

[‘What to do’ instructions issued by the Treasury]

Treasury Taskforce Policy Statement No.1- “PFI and Public Expenditures Allocations” (Oct 1997)

Policy Statement No.2- “Public Sector Comparators and Value for Money” (March 1998)

Policy Statement No. 3- “PFI and Public Expenditure Allocations for NDPBs” (August 1998)

Policy Statement No.4- “PFI Projects: Disclosure of Information and Consultation with Staff and Other Interested Parties” (October 1998)

Policy Statement No.5- “Provision of Information to Parliament” (January 2000)

Series (iii) Technical Notes

[‘How to do’ guidance based on experience]

Treasury Taskforce PFI Technical Note No.1- Revised Guidance “How to account for PFI Transactions” (June 1999)

Treasury Taskforce PFI Technical Note No.2- “How to follow EC Procurement Procedure and Advertise in the OJEC” (May 1998)

Treasury Taskforce PFI Technical Note No.3- “How to Appoint and Manage Advisors to PFI Projects” (August 1998)

Treasury Taskforce PFI Technical Note No.4- “How to Appoint and Work with a Preferred Bidder” (July 1999)

Treasury Taskforce PFI Technical Note No.5- “How to Construct a Public Sector Comparator” (October 1999)

Treasury Taskforce PFI Technical Note

No.6- “How to Manage the Delivery of Long Term PFI Contracts” (January 2000)

Treasury Taskforce PFI Technical Note No.7- “How to Achieve Design Quality in PFI Projects”

Series (iv) Case Studies

Colfox School, Dorset - A Case Study on the First DBFO School Project (March 1998)

Private Finance and IS/IT: Case Study - TAFMIS...and after (March 1998)

Lewisham Extension to Docklands Light Railway

Medium Support Helicopter Aircrew Training Facility

Lowdham Grange Prison Services

Employment Service – IT Partnership

Other Publications

Standardisation of PFI Contracts – Information Technology (consultation draft 1 July 1999) added to Standardisation of PFI Contracts in April 2000

Staff transfers from Central Government – A Fair Deal for Pensions (June 1999)

Private Finance and IT: A Practical Guide (March 1998)

Partnerships for Prosperity (Nov 1997)
[Replaces “Private Opportunity, Opportunity, Public Benefit” as the current PFI handbook]

Taking Forward PFI in Local Government (30 September 1997) - [1 page Taskforce statement]

Value for Money Drivers in the Private Finance Initiative A Report by Arthur Andersen and Enterprise LSE (April 2000)

Office of Government Commerce Private Finance Unit

Draft Technical Guidance for Consultation- A Competence Framework for Creating Effective PFI Project Teams (April 2000)

The Taskforce has also issued the following Press Releases: -

Robinson Re-Invigorates the PFI - HMT Press Release 69/97 23, June 1997 [refers to Bates’ recommendations]

City Heavyweight to Head Treasury's PFI Taskforce - HMT Press Release 82/97 14 July 1997

Top Notch Taskforce to Reinvigorate PFI - HMT Press Release 111/97 22 September 1997 *[gives details of the members of the Taskforce project team]*

Recommendations to Reinvigorate PFI - September deadlines are met - HMT Press Release 115/97 30 Sept 1997 *[gives details of 4 Bates' recommendations requiring action by 30 September]*

Partnerships for Prosperity - A new Framework for the PFI - HMT Press Release 132/97 4 Nov 1997 *[announces launch of new introductory guide to the PFI]*

Treasury Taskforce Seeks Partnerships for Training - HMT Press Release 158/97 10 December 1997

PFI Conference Programme Launched – HMT Press Release 167/97 22 December 1997 *[gives details of a public-private partnership for a programme of PFI conferences]*

Significant Projects List Launched - HMT Press Release 17/98 10 February 1998

Taskforce PFI Training Programme Launched - HMT Press Release 60/98 24 April 1998

More Local Government PFI Projects get Green Light - 24 June 1998

Geoffrey Robinson Welcomes Accounting Clarification of PFI - HMT Press Release 146/98 - 10 September 1998

Standardisation of Templates Pave the Way for New Era in PFI Deals - HMT Press Release 157/98 - 25 September 1998

Geoffrey Robinson Calls on PFI Bidders to Work with Trade Unions - HMT Press Release 170/98 21 October 1998

Geoffrey Robinson Unveils Second Significant Projects List - HMT Press Release 171/98 21 October 1998.

Geoffrey Robinson Announces Second Review for the Private Finance Initiative - HMT Press Release 187/98 12 November 1998

More PFI Schemes Get Go-ahead – DETR Press Release 1038/98 3 December 1998

New Steps to Drive Forward PFI and PPP – Alan Milburn – HMT Press release 23/99 2 February 1999

More Private Finance Initiative (PFI) deals expected as clarity of accounting standards is resolved – Alan Milburn – HMT Press Release 103/99, 24 June 1999

Treasury Taskforce Launches Information Technology Guidance for PFI Projects – HMT Press Release 112/99 2 July 1999

Treasury Taskforce PFI Standard Contract Guidance Launched – HMT Press Release 118/99 14 July 1999

Shake-Up for PFI and Government Procurement Plans will Save up to £1 Billion – HMT Press Release 124/99 22 July 1999

Treasury Publishes Public Sector Comparators Guidance on Private Finance Initiative (PFI) Deals – HMT Press Release 177/99 29 October 1999

Chairman Designate for Partnerships UK – HMT Press Release 207/99 7 December 1999

Andrew Smith sets out benefits of Public Private Partnerships HMT Press Release 15 March 2000

Andrew Smith and Ian McCartney launch blueprint for IT Public Private Partnership contracts HMT Press Release 28 March 2000

Further Press releases are available from

Private Finance Unit

0131 244 7500

or Office of Government Commerce

020 7211 1300 or www.ogc.gov.uk

* Some of these publications carry a charge, which should be checked at the time of application. They are, however, generally available free of charge to public sector bodies.

2. OTHER PUBLISHED INFORMATION

Scottish Office/ Executive PFI Publications

Risk Transfer and Value for Money in the PFI (1996)

The Potential Contribution of the PFI to Schools Projects in Scotland (1996)

PFI Financing Issues (1996)

Loan Charges: Level Playing Field for the Private Finance Initiative; Finance Circular 16/1997 [26 November 1997]

Planning Advice Note 55: The Private Finance Initiative and the Planning Process (February 1999)

Press Release – Improved Public Private Partnerships will deliver modern public services – Jack McConnell – News Release 1321/99 24 June 1999

McConnell announces support for School Public Private Partnerships (SE Press Release 20 February 2001)

Contact:

The Scottish Executive

Financial partnerships Unit

0131 244 7500

Private Finance Panel/HM Treasury Publications*

Private Finance and IS/IT Case Study - The IND caseworking programme (Home Office Immigration and Nationality Directorate)

Office Systems Infrastructure Review and Implementation Strategy for the Welsh Office (OSIRIS) (1996)

Transferability of Equity (1996)

Writing an Output Specification (1996)

PFI in Government Accommodation (1996) [includes guidance on EC procurement procedures]

Basic Contractual Terms (1996)

Report on the procurement of custodial services for the DCMF Prisons at Bridgend and Fazakerley (1996)

Further Contractual Issues (1997)

DBFO: Value in roads - a case study on the first eight DBFO roads contracts (1997)

VAT on PFI Service Payments (1997)

Contact:

Private Finance Unit

0131 244 7500

Central Computer and Telecommunications Agency (CCTA) Publications*

PFI for Government IS/IT: (1994)

PFI and Government IS/IT: Evaluation (1996)

PFI and Government IS/IT: Business Case & Procurement Briefing (1995)

PFI and Government IS/IT: RISK (1995).

Report on the NIRS2 Procurement (1995)

PFI and IS/IT: OSIRIS (1996)

PFI and IS/IT: The Ind Caseworking Programme (1996)

Total Acquisition Process Services Guide (1995)

Total Acquisition Process Systems Guide (1995)

Market Testing Briefing: IS/IT at Risk? (1994)

Evaluation of IS/IT Services (1993)

IS Guide B7: Evaluation (1989)

Contact:

CCTA

01603 704567

* Some of these publications carry a charge, which should be checked at the time of application. They are, however, generally available free of charge to public sector bodies.

Department of the Environment, Transport and the Regions Publications

The PFI and Local Authorities: An Explanatory Note (Updated September 1998) [guidance applicable to the system of local government in England and Wales]

Energy Services for the Public Sector: A Working Guide (1997) [guidance on contracting with the private sector for energy services]

Green Light for More Local Government PFI

Projects (DETR News Release ENV/0091
10 February 1998

More PFI Schemes Get Go Ahead (DETR
News Release 1038 3 December 1998)

Contact: Nicola Ware: 0171 890 5015

Public Private Partnerships Programme
(4Ps) Unit for England and Wales

Options Appraisal and the Outline
Business Case

A Guide to the Local Government
(Contracts) Act

1997 - A Practical Guide to the Provisions
and their Effect.